



**PATENT**

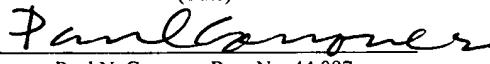
Case Docket No. MVMDINC.5DV1C1  
Date: September 20, 2004

IFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Frazier, et al.  
Appl. No. : 10/696,799  
Filed : October 30, 2003  
For : METHOD AND APPARATUS  
FOR CLOSING A BODY  
LUMEN  
Examiner : Unknown  
Group Art Unit : 3763

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 20, 2004  
(Date)  
  
Paul N. Conover, Reg. No. 44,087

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

(X) An Information Disclosure Statement.  
(X) A PTO Form 1449 with 6 reference along with a copy of the Supplementary Partial European Search Report.  
(X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.  
(X) Return prepaid postcard.

  
Paul N. Conover  
Registration No. 44,087  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404



## INFORMATION DISCLOSURE STATEMENT

Applicant : Frazier, et al.  
App. No. : 10/696,799  
Filed : October 30, 2003  
For : METHOD AND APPARATUS FOR  
CLOSING A BODY LUMEN  
Examiner : Unknown  
Group Art Unit : 3763

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

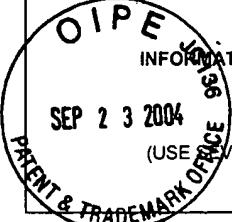
Enclosed is form PTO-1449 listing 6 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 9/20/04

By: Paul Conover  
Paul N. Conover  
Registration No. 44,087  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

|   |  |                                    |                               |
|---|--|------------------------------------|-------------------------------|
| FORM PTO-1449<br> | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>MVMDINC.5DV1C1 | APPLICATION NO.<br>10/696,799 |
| INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT  |  | APPLICANT<br>Frazier, et al.       |                               |
| SEP 23 2004<br>(USE SEVERAL SHEETS IF NECESSARY)  |  | FILING DATE<br>October 30, 2003    | GROUP<br>3763                 |

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
|------------------|-----------------|------|------|-------|----------|------------------------------|
|                  |                 |      |      |       |          |                              |
|                  |                 |      |      |       |          |                              |
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|                  |                 |      |      |       |          |                              |
|                  |                 |      |      |       |          |                              |

## FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|------------------|-----------------|----------|---------|-------|----------|-------------|----|
|                  |                 |          |         |       |          | YES         | NO |
|                  | 0 474 887 B1    | 06/15/94 | EP      |       |          |             |    |
|                  | GB 2 269 321    | 02/09/94 | GB      |       |          |             |    |
|                  | WO 96/32882     | 10/24/96 | PCT     |       |          |             |    |
|                  | WO 98/33462     | 08/06/98 | PCT     |       |          |             |    |
|                  | WO 99/40849     | 08/19/99 | PCT     |       |          |             |    |
|                  | WO 01/17435     | 03/15/01 | PCT     |       |          |             |    |
|                  |                 |          |         |       |          |             |    |

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

|                  |  |
|------------------|--|
| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |
|                  | Supplementary Partial European Search Report dated June 9, 2004        |
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| EXAMINER   | DATE CONSIDERED |
| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. |                 |